Schedule III [see regulation 6(4)]

Monthly statements of liquid capital with the Commission and the securities exchange AL HABIB CAPITAL MARKETS (PVT) LIMITED.

Computation of Liquid Capital

As on 31 March 2024

. No.	Head of Account	Value in Pak Rupees	Hair Cut / I Adjustments	Vet Adjusted Value
Asset		5,089,769	100%	-
1.1	Property & Equipment Intangible Assets	3,318,277	100%	
1.3	Investment in Govt. Securities	82,412,237		82,412,237
1.3	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.4	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			-
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	-	-	
1.5	respective securities whichever is higher. (Provided that if any of these securities are pledged with the	16,841,135	(16,841,135)	
1.5	securities exchange for base minimum capital requirenment, 100% haircut on the value of eligible securities	\$14.56\$41.5214.8664.961.741	The contract of the contract o	
	to the extent of minimum required value of Base minimum capital			
	ii. If unlisted, 100% of carrying value.			
1.6	Investment in subsidiaries			
-	Investment in associated companies/undertaking			
1.7	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
1./	whichever is higher.			
165	ii. If unlisted, 100% of net value.			
	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository			
	or any other entity.			
1.8	(i) 100% of net value, however any excess amount of cash deposited with securities exchange to comply		100%	
	with requirenments of base minimum capital may be taken in the calculation of LC	21,400,000	100%	
4.0	Market 2012 and a second secon	114,343,050		114,343,050
1.9	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	114,545,656		22.70
	Other deposits and prepayments	9,296,805	100%	
1.11				2 205 651
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)	3,305,655		3,305,655
6	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.	-		(*)
	Amounts receivable against Repo financing.			
1.14	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)		NE	
	Advances and receivables other than trade Receiveables;			
	(i) No haircut may be applied on the short term loan to employees provided these loans are secured and due	13,466		13,466
1.15	for repayments within 12 months.			575.60.536
4.40	(ii) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation .	39,683,394		39,683,39
	The same of the sa		100%	
	(iii) In all other cases 100% of net value	23,591,809	100%	
	Receivables from clearing house or securities exchange(s)			
1.16	100% value of claims other than those on account of entitlements against trading of securities in all markets	8,989,429		8,989,42
-	including MtM gains.			
	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked			
	account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value	101,106,254	(4,682,733)	96,423,52
	of any securities deposited as collateral after applying VaR based haircut.	101,100,10	(,,,	,
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut	60,651,690	(3,032,585)	57,619,10
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral			
1.17	upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	295,440,769		295,440,76
	iv. Balance sheet value			
	the state of the s			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of			
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash	131,898,838	(24,002,252)	107,896,58
	deposited as collateral by the respective customer and (iii) the market value of securities held as collateral	131,030,030	(24,002,232)	107,030,50
	after applying VaR based haircuts.			
	v. Lower of net balance sheet value or value determined through adjustments			
	f the supplied and star applying applicable			
	vi. In the case of amount of receivables from related parties, values determined after applying applicable			
	haircuts on underlying securities readily available in respective CDS account of the related party in the			
	following manner: (a) Up to 30 days, values determined after applying	5.093.381		
	following manner; (a) Up to 30 days, values determined after applying var based haircuts. (b) Above 30 days but upto 90 days, values determined after applying	5,093,381		-
	following manner; (a) Up to 30 days, values determined after applying var based haircuts. (b) Above 30 days but upto 90 days, values determined after applying 50% or var based haircuts whichever is higher.	5,093,381		
	following manner; (a) Up to 30 days, values determined after applying var based haircuts. (b) Above 30 days but upto 90 days, values determined after applying	5,093,381		



		4,813,898	27	4,813,898 5,227,135
Cash and Ba	ank balances	275,227,135		35,791
D-1	and proprietory accounts	35,791		
ii Bank ba	lance-customer accounts			
iii Cash in	hand hand offer for sale (asset)			
Subscripti	ion money against investment in IPO or in IPO or in IPO or in Manager provided that states and successful may be applied in respect of amount paid as subscription money provided that states are that may be applied in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% of investment in IPO where shares have been alloted but not yet credited in IPO where shares have been alloted but not yet credited in IPO where shares have been alloted but not yet credited in IPO where shares have been alloted but not yet credited in IPO where shares have been alloted but not yet credited in IPO where shares have been alloted but not yet			
(i)No hairc	but may be applied in there shares have been alloted but not yet desired			
(ii) In case	of Investment in IPO where shall be securities. iiii be applicable on the value of such securities.			
haircuts w	vill be applicable on the value of sacount, 15%			5-16
(III) le cari	of investment of the value of such securities. viill be applicable on the value of such securities. e of subscription in right shares where the shares have not yet been credited in CDS account, 15% being the size of the subscription in the shares will be applied on Right Shares.		1,	086,204,036
(III) III Casi	e of subscription in right shares where the shares have ased haircut whichever is higher, will be applied on Right Shares.	1,202,552,781		
OF VAN DE				
Total Ass	sets	77 742 020		207,613,029
ilities		207,613,029		
	ayables description house	100 4CE 179		229,165,179
i. Payab	ayables le to exchanges and clearing house ble against leveraged market products	229,165,179		
ii. Payab	ole against level aged made :	-		2000
iii. Paya	bile to customers	38,219,898		38,219,898
Current	Liabilities tory and regulatory dues	349,275,445		349,275,445
i. Statu	uals and other payables	343,2.27		
.2 iv. Curr	rent portion of long term liabilities rent portion of long term liabilities			
Dof	formed Liabilities			
vi. Det	ovision for taxation			
VII. Pro	ovision for taxation ther liabilities as per accounting principles and included in the financial statements			
viii. O	ther liabilities as per accounting Property	- July		
Non-C	Current Liabilities			
i Lon	ng-Term financing			
1. LOT	ng-Term financing ther liabilities as per accounting principles and included in the financial statements		-	
ii. Ot	ther liabilities as po. accompany			
2.3 iii. St	taff retirement benefits	1		
	taff retirement benefits 2: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial 3: (b) Nill in all other cases (b) Nill in all other cases	1000		
Note	e: (a) 100% haircut may be allowed against finance leases. (b) Nill in all other cases			
Sub	ordinated Loans 30% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
24 1110	no% of Subordinated loans which fulfill the conditions appears			
		No. les de la company		
1	vance against shares for Increase in Capital of Securities broker:			1
Adv	upper against shares for micrease			
	valice against shares if:			
	value against shares if:			
100	0% haircut may be allowed in respect of advance against the proposed enhanced share capital			
100 a.	0% haircut may be allowed in respect of advance again. The existing authorized share capital allows the proposed enhanced share capital allows the proposed enhanced share capital and the increase in capital			
100 a.	0% haircut may be allowed in respect of advance again. The existing authorized share capital allows the proposed enhanced share capital allows the proposed enhanced share capital and the increase in capital			
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2.5 b. c.	0% haircut may be allowed in respect of advance against and the existing authorized share capital. The existing authorized share capital allows the proposed enhanced share capital. Boad of Directors of the company has approved the increase in capital. Regulatory approvals have been obtained. Relevant Regulatory approvals have been obtained. There is no unreasonable delay in issue of shares against advance and all regulatory requirements.			924 273.550
2.5 b. c.	0% haircut may be allowed in respect of advance against and the existing authorized share capital. The existing authorized share capital allows the proposed enhanced share capital. Boad of Directors of the company has approved the increase in capital. Regulatory approvals have been obtained. Relevant Regulatory approvals have been obtained. There is no unreasonable delay in issue of shares against advance and all regulatory requirements.	824,273,	550	824,273,550
2.5 b. c.	0% haircut may be allowed in respect of advance against and share capital. The existing authorized share capital allows the proposed enhanced share capital. Boad of Directors of the company has approved the increase in capital. Boad of Regulatory approvals have been obtained. Relevant Regulatory approvals have been obtained.	824,273,	550	824,273,550
2.5 b. c. d. re	0% haircut may be allowed in respect of advance against and the experience of the company has approved the increase in capital Bload of Directors of the company has approved the increase in capital Boad of Directors of the company has approved the increase in capital Relevant Regulatory approvals have been obtained Relevant Regulatory approvals have been obtained. There is no unreasonable delay in issue of shares against advance and all regulatory requirements lating to the increase in paid up capital have been completed. Auditor is satisfied that such advance is against the increase of capital.	824,273,	550	824,273,550
2.5 b. c. d. re e.	0% haircut may be allowed in respect of advance against and the existing authorized share capital allows the proposed enhanced share capital Boad of Directors of the company has approved the increase in capital Relevant Regulatory approvals have been obtained Relevant Regulatory approvals have been obtained. There is no unreasonable delay in issue of shares against advance and all regulatory requirements elating to the increase in paid up capital have been completed. Auditor is satisfied that such advance is against the increase of capital.		5550	824,273,550
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	Repo adjustment		
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securities deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.		
	Concentrated proprietary positions		
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the	1,68	34,113
	value of such security		
	Opening Positions in futures and options Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount ii. In case of customer positions, the total margin requiremnets in respect of open postions less the amount		
3.9	of cash deposited by the customer and the value of securities field as consistency		
	exchange after applyiong VaR haircuts ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent		
	not already met		-
3.10	Short sell positions		
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts		
3.10	the value of shares sold short in ready market and not yet settled		
	ii. Incase of proprietory positions, the market value of snares sold and specified as collateral after applying increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying		042.00
	haircuts.		943,99
3.11	Total Ranking Liabilites	176,9	86,49

NET LIQUID CAPITAL BALANCE AS ON 31.03.2024

Calculations Summary of Liquid Capital
(i) Adjusted value of Assets (serial number 1.20)
(ii) Less: Adjusted value of liabilities (serial number 2.6)
(iii) Less: Total ranking liabilities (series number 3.11)

Note: Commission may issue evidelines and clarifications in respect of the treatment of any component of Liquid Capital including any modification, deletion and inclusion in the calculation of Adjusted value of assets and liabilities to address any practical difficulty.

Chief Financial Officer

Compliance Officer